FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	D.C.	20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL								
OMB Number: 3235-0287								
Estimated average burden								
hours per response: 0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Stolper Michael					2. Issuer Name and Ticker or Trading Symbol StoneCastle Financial Corp. [ BANX ]									k all app Direc	tor	ng Pers	10% O	wner
ONECAST	LE FINANCIAL	CORP		3. Date of Earliest Transaction (Month/Day/Year) 03/22/2021										Other ( below)	specify			
152 WEST 57TH STREET, 35TH FLOOR					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)					
ORK N	Y 1	0019											X	Form filed by One Reporting Person Form filed by More than One Reporting Person				
(5	tate) (Z	Zip)																
	Table	I - No	n-Deriva	tive S	Secui	rities	Acq	uired,	Dis	posed of	, or E	enefi	cially	Own	ed			
Date				Execution Date,							5. Amount of Securities Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)			
								Code	v	Amount	(A) ( (D)	Pric	e	Transa	action(s)			(30. 4)
Common Stock 03/22/2					2021		P		900	A	\$1	9.81	2,900			D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
	3. Transaction Date (Month/Day/Year)			4. Transaction Code (Instr. 8)		of Deriv Secu Acqu (A) o Dispo of (D (Instr and 5	vative crities critied r cosed ) r. 3, 4	Expiration Da (Month/Day/Y		te ear)	Amoun or Numbe of		nt er		derivative Securities Beneficiall Owned Following Reported	Ownership Form: Direct (D) or Indirect (I) (Instr. 4		Beneficial Ownership (Instr. 4)
	(FONECAST ST 57TH ST 5	(First) (NONECASTLE FINANCIAL ST 57TH STREET, 35TH FORK NY 1  (State) (Z  Table Security (Instr. 3)  1 Stock Tal  2. Conversion or Exercise Price of Derivative (Month/Day/Year)	(First) (Middle)  ONECASTLE FINANCIAL CORP ST 57TH STREET, 35TH FLOOR  ORK NY 10019  (State) (Zip)  Table I - No  Security (Instr. 3)  1 Stock  Table II -  Conversion or Exercise Price of Derivative (Month/Day/Year)  Table II -  3A. Dee Execution (Month/Day/Year) (Month.	(First) (Middle)  ONECASTLE FINANCIAL CORP.  ST 57TH STREET, 35TH FLOOR  ORK NY 10019  (State) (Zip)  Table I - Non-Deriva  Security (Instr. 3)  2. Transac Date (Month/Da  1 Stock 03/22/2  Table II - Derivati (e.g., pu  Conversion or Exercise Price of Derivative (Month/Day/Year)  2. (Month/Day/Year)	(First) (Middle)  ONECASTLE FINANCIAL CORP.  ST 57TH STREET, 35TH FLOOR  ORK NY 10019  (State) (Zip)  Table I - Non-Derivative Security (Instr. 3)  2. Transaction Date (Month/Day/Year)  Table II - Derivative Se (e.g., puts, cather)  Conversion or Exercise Price of Derivative Service of Derivative Service of Derivative Service of Derivative Service of Operivative Service Operivative Service of Operivative Service of Operivative Service Operivative O	(First) (Middle)  ONECASTLE FINANCIAL CORP. ST 57TH STREET, 35TH FLOOR  ORK NY 10019  (State) (Zip)  Table I - Non-Derivative Security (Instr. 3)  2. Transaction Date (Month/Day/Year)  Table II - Derivative Security (e.g., puts, calls, volume of Exercise Price of Derivative Security (Month/Day/Year)  2. Month/Day/Year)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Instr. 8)  3. Demed Execution Date, if any (Month/Day/Year)	(First) (Middle)  ONECASTLE FINANCIAL CORP. ST 57TH STREET, 35TH FLOOR  ORK NY 10019  (State) (Zip)  Table I - Non-Derivative Securities  Security (Instr. 3)  2. 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If Amendment, Date of Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Disposed of (D) (Instr. 3, 4 and 5)	(First) (Middle)  ONECASTLE FINANCIAL CORP. ST 57TH STREET, 35TH FLOOR  ORK NY 10019  (State) (Zip)  Table I - Non-Derivative Securities Acquired, Month/Day/Year) (Month/Day/Year)  1 Stock  Table II - Derivative Securities Acquired, Execution Date, if any (Month/Day/Year)  Table II - Derivative Securities Acquired, Execution Date, if any (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  Table II - Derivative Securities Acquired, Execution Date, if any (Month/Day/Year)  2. Transaction Date (e.g., puts, calls, warrants, option)  Table II - Derivative Securities Acquired, Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  Date Date	(First) (Middle)  ONECASTLE FINANCIAL CORP. ST 57TH STREET, 35TH FLOOR  ORK NY 10019  (State) (Zip)  Table I - Non-Derivative Securities Acquired, Dis Date (Month/Day/Year)  ORK NY 10019  (State) (Zip)  Table I - Non-Derivative Securities Acquired, Dis Date (Month/Day/Year)  ORK NY 10019  Table II - Derivative Securities Acquired, Disposed of (D) (Instr. 3, 4 and 5)  Date (Month/Day/Year)  A. If Amendment, Date of Original Filed  A. If Amendme	(First) (Middle)  ONECASTLE FINANCIAL CORP. ST 57TH STREET, 35TH FLOOR  ORK NY 10019  (State) (Zip)  Table I - Non-Derivative Securities Acquired, Disposed of Security (Instr. 3)  2. 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ST 57TH STREET, 35TH FLOOR  ORK NY 10019  (State) (Zip)  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned  Security (Instr. 3)  2. 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ST 57TH STREET, 35TH FLOOR  A. If Amendment, Date of Original Filed (Month/Day/Year)  (State) (Zip)  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned  Security (Instr. 3)  2. Transaction Date (Month/Day/Year) (Month/Day/Year)  (Month/Day/Year) (Month/Day/Year)  1. Stock  Date Date (B.g., puts, calls, warrants, options, convertible securities)  2. Director Officer (give title below)  A. If Amendment, Date of Original Filed (Month/Day/Year)  (State) (Zip)  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned  Security (Instr. 3)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year) (Month/Day/Year)  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)  2. Conversion or Exercise Privative Securities Acquired (A) or Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year) (Month/Day/Year)  A. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and Beneficially Owned (Instr. 3) and 4)  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)  2. Date Exercisable and Expiration of Code (Instr. 3) (Instr. 4)  Date Date Expiration of Securities Securities Beneficially Owned (A) or Date Securities	(First) (Middle) (First

**Explanation of Responses:** 

Remarks:

/s/ Michael Stolper

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.